

DECLARATION OF INTEREST FORM

Annex I

Date Click or tap to enter a date.

FULL NAME:	Roger Havenith
ROLE:	Deputy Chief Executive, EIF
TERM'S START DATE:	01/01/2016

1 | OUTSIDE ACTIVITIES

1.1 | CURRENT ACTIVITIES/POSITIONS NOT CONNECTED TO [EIB/EIF]'S WORK.

Please indicate (i) any official/professional activities/position(s), (ii) membership to governing bodies (e.g. of international, European or national agencies) and (iii) positions in institutes, associations, foundations.

Name of the body	Position (incl. outline of main tasks)	Nature of the business / type of activity of the body	Remunerated? (Yes/No)

No current activities to declare

1.2 | PREVIOUS ACTIVITIES

Please indicate (i) any official/professional position(s), (ii) membership to governing bodies (e.g. of international, European or national agencies) and (iii) positions in institutes, associations, foundations, held in each case over the last 5 years.

End date	Name of the body	Position (incl. outline of main tasks)	Nature of the business/ type of activity of the body

No previous activities/positions to declare

2 | FINANCIAL INTERESTS

Please indicate all your financial interests (including collective investment schemes) above 10,000 EUR.

2.1 | FINANCIAL INSTRUMENTS ISSUED BY OR LINKED TO LISTED COMPANIES

ISIN	Type of financial instrument*	Issuer name	Number of instruments	Total value / Currency (at the time of declaration)	Managed on an independent basis by a third party portfolio manager (Y/N)

* to be filled in only if ISIN is not available

No financial instrument issued by or linked to listed companies to declare

2.2 | FINANCIAL INSTRUMENTS ISSUED BY OR LINKED TO NON- LISTED COMPANIES

ISIN	Issuer name	Type of financial instrument*	Number of instruments	Total value / Currency (at the time of declaration)	Managed on an independent basis by a third party portfolio/wealth manager (Y/N)

* to be filled in only if ISIN is not available

No financial instrument issued by or linked to non-listed companies to declare

3 | REAL ESTATE ACQUIRED AFTER THE APPOINTMENT IN THE EIF

The following real estate assets do not need to be declared:

1. Primary residence reserved for the exclusive use of you and/or your family.
2. Inherited properties
3. Real estate acquired prior appointment / hiring

Description	Country/town/city/village	Percentage of ownership
Appartment	MESPERANGE Wasserbillig, Luxembourg	100%

No real estate to declare

4 | LIABILITIES

Please indicate all liabilities towards clients or counterparts of the EIB Group which could reasonably be considered to be capable of giving rise to a conflict of interest.

Type of Liability/ Description	Total value / Currency (at the time of declaration)	Name of the entity

No liabilities to declare

5 | SPOUSES / REGISTERED PARTNERS / DEPENDENT CHILDREN

5.1 | PRINCIPAL PROFESSIONAL/OCCUPATIONAL ACTIVITY

To the best of your knowledge, please indicate the principal professional/occupational activity of your spouse/registered partner.

Relationship type (spouse/ registered partner or dependent children)	Name of the body	Position (incl. outline of main tasks)	Nature of the business of the body

No activity to declare

5.2 | FINANCIAL INTEREST AND LIABILITIES

To the best of your knowledge, please indicate:

- all financial instruments of your spouse/ registered partner and dependent children in excess of 10.000 EUR (including collective investment schemes); and
- all liabilities of your spouse/ registered partner and dependent children in excess of 10.000 EUR towards clients or counterparts of the EIB Group,

which could reasonably be considered to be capable of giving rise for you to a conflict of interest.

5.2.1 | FINANCIAL INSTRUMENTS ISSUED BY OR LINKED TO LISTED COMPANIES

Relationship type (spouse/ registered partner or dependent children)	ISIN	Type of financial instrument	Issuer name	Number of instruments	Total value / Currency (at the time of declaration)	Managed on an independent basis by a third party portfolio manager (Y/N)

* to be filled in only if ISIN is not available

Nothing to declare

5.2.2 | FINANCIAL INSTRUMENTS ISSUED BY OR LINKED TO NON- LISTED COMPANIES

Relationship type (spouse/ registered partner or dependent children)	ISIN	Type of financial instrument*	Issuer name	Number of instruments	Total value / Currency (at the time of declaration)

* to be filled in only if ISIN is not available

Nothing to declare

5.2.3 | LIABILITIES

Relationship type (spouse/ registered partner or dependent children)	Type of the Liability/ Description	Total value / Currency at the time of declaration	Name of the entity

Nothing to declare.

I hereby declare that, as of 25/01/2024 * the information given here above is true, correct and complete to the best of my knowledge.

*on an annual basis on 31st December of each year or in the date of appointment or upon any material changes, as the case might be.

Date: 25/01/2024

Signature:



Data Protection Statement

DECLARATION OF INTEREST OF THE CHIEF EXECUTIVE AND DEPUTY CHIEF EXECUTIVE (CE/DCE DoI)

1 | Controller

This data protection statement provides information regarding the processing carried out by Secretary General, Compliance and Human & Resources Management Departments of the European Investment Fund (hereafter the “EIF” or “we”), in the course of the CE/DCE DoI.

In the course of this activity the processing of personal data does not involve the existence of automated decision-making, including profiling.

2 | Purpose of the processing

This data protection statement provides information regarding the purpose of the processing carried out by the EIF in the course of the CE/DCE DoI. The EIF performs tasks in the exercise of the authority vested to it in accordance with the Provisions of the Treaties and its *Statute*.

The EIF processes your personal data as reasonably necessary so that it can conduct and manage the CE/DCE DoI in a reasonable and proper manner, in accordance with applicable laws and regulations. Personal data are processed in accordance with Regulation (EC) 2018/1725 of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies and agencies and on the free movement of such data and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC (hereafter, the EU DPR).

3 | Legal Basis of the processing

The legal basis for the processing of personal data in the course of the CE/DCE DoI is: Article 2.7 of the Code of Conduct of the Chief Executive and Deputy Chief Executive.

4 | Categories of data subjects

The following categories of individuals (data subjects) are/may be concerned by the processing under 2: Chief Executive and Deputy Chief Executive (hereafter CE/DCE), their spouses / registered partners and minor children (if applicable).

5 | What personal data do we process?

EIF processes the following categories of personal data:

1. Name of the CE/DCE
2. Past and current outside activities of the CE/DCE
3. Principal professional/occupational activity of the spouse/registered partner of the CE/DCE

4. Financial Interests, real estate assets, liabilities of the CE/DCE and of the spouse/registered partner and of minor children

6 | Where do we obtain your personal data?

We may obtain your personal data directly from you.

7 | To whom is your data disclosed?

The data contained to the CE/DCE DoI will be published to the EIF internet site.

8 | International Transfers

N/A

9 | How long do we keep your personal data?

We keep your data for up to 10 years as from their submission

10 | What are your rights and how can you exercise them?

Your rights are set out in sections 3 to 5 of the EU DPR.

- You have the right to obtain from the controller confirmation as to whether or not your personal data are being processed, and, if so, to access your personal data by contacting the Controller or through the EIF DPO (**right of access**);
- You have the right to request the controller to rectify any inaccurate data and/or have incomplete personal data completed (**right for rectification**);
- You have the right to request the controller to erase your personal data as per Article 19 of the EU DPR (**right to be forgotten**);
- You have the right to request the controller to restrict the processing of your personal data in the following cases (**right to restriction of processing**):
 - (i) if you contest the accuracy of your data;
 - (ii) if the processing of the data is unlawful and you oppose to their erasure;
 - (iii) if the controller no longer needs the personal data referred to for the purposes of the processing but you require them for the establishment, exercise or defence of legal claims; or
 - (iv) if you have objected to the processing of your data and EIF seeks to establish whether the controller has legitimate grounds overriding yours right to restriction.
- You have the right to **object** to the processing of personal data, on grounds relating to your particular situation, unless EIF demonstrates compelling legitimate grounds for the processing or for the establishment, exercise or defence of legal claims;
- You have the right to receive your personal data from the EIF in a structured, commonly used and machine-readable format to allow you to transmit your data to another controller without hindrance from the EIF (**right to data portability**);

- When the legal basis of the processing is the consent, data subject has the right to withdraw his/her consent at any time. The withdrawal of consent shall not affect the lawfulness of processing based on consent before its withdrawal;
- You have the right to lodge a complaint with the European Data Protection Supervisor (www.edps.europa.eu) at any time (right to lodge a complaint).

11 | Contact us

If you have any questions about our processing of your personal data, or wish to exercise any of the rights described above, please contact: the EIF's Data Protection Officer, Mr. Paolo Sinibaldi, by email at dpo@eif.org or at the following address:

Mr. Paolo Sinibaldi

European Investment Fund

37B, avenue J.F. Kennedy,

L-2968, Luxembourg (Grand Duchy of Luxembourg)